



Filing ID #10021674

FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

FILER INFORMATION

Name: Regina Bateson
Status: Congressional Candidate
State/District: CA04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 05/14/2018
Period Covered: 01/01/2017– 04/30/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Foley Hoag LLP Savings and Retirement Plan ⇒ Vanguard Inflation-Protected Securities Fund Investor Shares [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Foley Hoag LLP Savings and Retirement Plan ⇒ Vanguard Total Stock Market Index Fund Investor Shares [MF]	SP	\$50,001 - \$100,000	Tax-Deferred		
Harvard University Retirement Plan ⇒ Vanguard Institutional Target Retirement 2045 Fund [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
MIT 401K ⇒ Vanguard Target Retirement 2050 Trust Plus [MF]		\$50,001 - \$100,000	Tax-Deferred		
Needham Bank Checking Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Rental Property [RP]	JT	\$500,001 - \$1,000,000	Rent	\$15,001 - \$50,000	\$15,001 - \$50,000
LOCATION: Arlington, MA, US					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Tangerine Balanced Growth Portfolio [MF]	SP	\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
DESCRIPTION: This is a Canadian-dollar denominated mutual fund held in an account with Tangerine Bank of Canada.					
Tangerine Tax Free Savings Account [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
DESCRIPTION: This is a Canadian dollar-denominated tax-free savings account held by the candidate's spouse with Tangerine Bank of Canada. Tax-free savings accounts in Canada are similar to Roth IRAs in the US, in that contributions are made using post-tax dollars and generate returns tax-free.					
Wells Fargo Checking Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Wells Fargo Roth IRA ⇒ T. Rowe Price Mid-Cap Growth Fund [MF]		\$1 - \$1,000	Tax-Deferred		
Wells Fargo Roth IRA ⇒ T. Rowe Price Retirement 2040 Fund Advisor Class [MF]		\$1,001 - \$15,000	Tax-Deferred		
Wells Fargo Savings Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Harvard University	Spouse Salary	\$31,332.96	\$99,186.81
University of California, Davis	Spouse Salary	\$8,384.95	\$8,384.95
Massachusetts Institute of Technology	Salary	N/A	\$61,198.64

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Chase N.A.	June 2016	Mortgage on rental property	\$250,001 - \$500,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Assistant Professor of Political Science	Massachusetts Institute of Technology
COMMENTS: On unpaid leave since July 1, 2017.	

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

- Foley Hoag LLP Savings and Retirement Plan (Owner: SP)
DESCRIPTION: 401K Plan offered by spouse's former employer.
- Harvard University Retirement Plan (Owner: SP)
DESCRIPTION: Retirement Plan offered by Spouse's Current Employer.
- MIT 401K
DESCRIPTION: 401K plan provided by current employer
- Wells Fargo Roth IRA
DESCRIPTION: Roth IRA account managed through Wells Fargo Bank

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

COMMENTS

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Regina Bateson , 05/14/2018